# $\frac{3}{2}$  3  $\frac{1}{2}$   $\frac{1}{2}$  $4$  ASSESSING LUNIS SUITANUITY TOP K NOWLEAGE  $4$  $\frac{1}{5}$  Assessing LLMs Suitability for Knowledge 6 6 <sup>6</sup> Graph Construction

 $1$  $2 \times 2$ 

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16 **Abstract.** Recent work has shown the capability of Large Language Models (LLMs) to solve tasks related to Knowledge Graphs 16  $_{17}$  including Knowledge Graph Construction, even in Zero- or Few-Shot paradigms. However, they are known to hallucinate answers  $_{17}$  $_{18}$  or output results in a non-deterministic manner, thus leading to wrongly reasoned responses, even if they satisfy the user's  $_{18}$  $_{19}$  demands. This hinders the inclusion of a LLM in the automatic processing pipeline of software products based on natural  $_{19}$ language processing, like chatbots or Task-Oriented Dialogue systems. To highlight opportunities and challenges in knowledge 20 21 and GPT-40, on Knowledge Graph Construction for static knowledge graphs, using prompts constructed following the TELeR <sup>21</sup> <sup>22</sup> taxonomy in Zero- and One-Shot contexts, on a Task-Oriented Dialogue system usecase. We introduce a flexible measurement <sup>22</sup>  $23$  paradigm for the computation of the performance evaluation metrics in order to better assess all usable pieces of information  $23$  $24$  produced by the LLM. When evaluated using both strict and flexible metrics measurement manners, our results show that LLMs  $24$ 25 25 could be fit for such a task if prompts encapsulate sufficient information and relevant examples. graphs-related tasks, we experiment with three distinguished LLMs, namely Mixtral-8x7b-Instruct-v0.1, GPT-3.5-Turbo-0125

 $26$  $_{27}$  Keywords: Large language models, Knowledge graph, Knowledge graph construction, Prompt engineering, Task-oriented  $_{27}$  $\frac{28}{28}$  analogue system 28 dialogue system

#### $33$   $\overline{3}$   $\frac{34}{34}$  34 1. Introduction

 $\frac{35}{26}$  Knowledge Graphs (KGs) are defined as graphs of data intended to accumulate and convey knowledge of the real 36 **Expression Computer Computer and Supplies of data interfaces** to accumulate and convey interfection of the real world [\[7\]](#page-14-0). Their nodes represent entities of interest and edges represent potentially different relations between these  $\frac{37}{27}$ entities. KGs are integrated into various systems to enhance their abilities of storing and processing information.

Task-Oriented Dialogue (TOD) systems, alongside chatbots, are conversational agents possessing capabilities of engaging in natural language dialogues with human users. Different from chatbots, TOD systems aim to solve the user's specific tasks within certain domains [\[2\]](#page-14-1). In our previous work [\[9\]](#page-14-2) we focused on developing an ontologyenhanced TOD system equipped with a static KG capable of mapping the context of the discussion and storing  $\frac{42}{2}$ relevant information. Numerous benefits stem from adopting this approach, including enabling concurrent threads of  $\frac{43}{13}$ conversation within a single discourse and utilizing the KG to validate data as a proxy. Additionally, the system gains the capability to execute Create-Retrieve-Update-Delete (CRUD) operations on domain-specific KGs. The acronym  $_{46}$  CRUD refers to the four basic operations that can be executed against persistent storages, such as relational or object  $_{46}$ databases, or other types of knowledge bases like KGs, to create, maintain or update them. As TOD systems aim to solve a variety of specific tasks, we decided that enabling such basic, but important operations is a suitable usecase to start with. Specifically, in our TOD system we employed the Knowledge Graph Construction (KGC) task to  $_{49}$ 

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1 1 create two KGs and the Knowledge Graph Reasoning (KGR) task to handle CRUD operations. KGC's objective is 2 2 to extract structured information from natural text and further use the extracted information to create or extend KGs. 3 3 Nonetheless, our TOD system [\[9\]](#page-14-2) relies on input text template-matching rules, constraining the authenticity of <sup>4</sup> dialogues and hindering adaptability to novel concepts beyond the predefined ontology. Hence, in a subsequent <sup>5</sup> study [\[8\]](#page-14-3), we experimented with training neural networks - specifically fine-tuning BERT, a pre-trained model, to <sup>6</sup> discern user intent and pertinent associated entities from input text. While embedding deep learning models into the <sup>7</sup> TOD system architecture demonstrated encouraging outcomes, we still failed to completely address the previously <sup>8</sup> mentioned limitations.

<sup>9</sup> Therefore, in our current work, we study the use of LLMs to solve the KGC task, in the context of a TOD system. <sup>10</sup> Literature [\[6,](#page-14-4) [15,](#page-14-5) [16,](#page-14-6) [23,](#page-15-0) [25\]](#page-15-1) identified a potential for synergy between KGs and LLMs, as KGs can enrich LLMs by <sup>11</sup> supplying external knowledge for inference and explainability, while LLMs, in turn, can address KG-related tasks  $11$ <sup>12</sup> through natural language prompts. The aim is that, with the help of a LLM, to extract facts from the natural text and <sup>12</sup> <sup>13</sup> furthermore, to be able to automatically use the extracted facts in the processing pipeline of the TOD system.

<sup>14</sup> Our experiments explore LLMs for static KGs contexts. Three well-established LLMs are used: Mixtral-8x7b-[1](#page-1-0)5 instruct-v0.1<sup>1</sup> [\[12\]](#page-14-7), GPT-3.5-Turbo-01[2](#page-1-1)5<sup>2</sup>, alongside the most advanced GPT model, the GPT-4o<sup>[3](#page-1-2)</sup> version, each  $\frac{16}{16}$   $\frac{16}{16}$   $\frac{1}{16}$   $\frac{1}{16}$ possessing different properties. Communicating with such models involves the use of prompts, which are natural  $\frac{17}{17}$ language instructions formatted in such way that the model understands the user's intent. We test their capabilities of  $_{18}$ solving the aforementioned KGC task using multiple prompting styles, including human-created and model-specific  $_{19}$  $_{20}$  rephrased ones. Each prompt belongs to a level defined according to the TELeR taxonomy [\[18\]](#page-15-2), that includes  $_{20}$  $_{21}$  techniques such as Direct Prompting (DP), In-Context Learning (ICL), or Chain of Thought (COT), under Zero- $_{22}$  and One-Shot contexts. To illustrate an appropriate application scenario for LLMs and KG tasks, we extract sample  $_{22}$  $_{23}$  phrases from the training phase of our TOD system. Two datasets are obtained, including one with an increased  $_{23}$  $_{24}$  difficulty, with test cases requiring reasoning steps that are not explicitly mentioned in the prompts. This approach  $_{24}$  $_{25}$  allows us to not only evaluate the capability of LLMs in addressing the KG-specific tasks, but also to investigate  $_{25}$  $_{26}$  their synergy with TOD systems. Finally, we report the recall and triple F1 scores [\[4,](#page-14-8) [6\]](#page-14-4) of each LLM on both  $_{26}$ 27 27 dataset, under two measurement paradigms: strict and flexible.

28 28 Our research makes the following contributions. (i) We assess the performance of three prominent LLMs: one 29 29 open-source and the other two proprietary, for the KGC task. This evaluation involves employing various prompts, 30 30 either defined by humans or rephrased by the LLMs themselves, across different levels of complexity. We utilize <sup>31</sup> three distinct prompting techniques (DP, ICL, COT) within two data contexts (Zero-Shot and One-Shot), yielding <sup>31</sup> <sup>32</sup> valuable insights into the capabilities of a robust LLM in performing such task. Performance is measured within <sup>33</sup> two paradigms: strict and flexible, shedding light on the challenges encountered during post-processing. (ii) We <sup>33</sup> <sup>34</sup> construct and propose a novel flexible metric, aiming to positively evaluate every piece of information produced by <sup>35</sup> the LLM that could be automatically incorporated in the TOD system pipeline with some additional post-processing <sup>35</sup> <sup>36</sup> computation steps. (iii) We introduce two personalized datasets tailored to gauge the performance of LLMs in the <sup>36</sup>  $37$  KGC task, featuring varying levels of difficulty. (iv) We investigate the feasibility of integrating such models into a  $37$ <sup>38</sup> domain-specific ontology-enhanced TOD system, by extracting and using test phrases specific to its context and by <sup>39</sup> assessing its performance under the flexible metric measurement paradigm.<sup>39</sup>

<sup>40</sup> This paper extends our previous work [\[10\]](#page-14-9) by better defining the preliminary conditions, by revising the related work section with the inclusion of the latest relevant research, by formally introducing the flexible measurement  $\frac{41}{100}$ 42 42  $\frac{43}{43}$  paradigm and by presenting more detailed results that allow extracting further conclusions, including some related  $\frac{43}{43}$ 44 and the explority of the control and to some distribution of the control of dimensional control of the control of with the capability of the tested LLMs to solve tasks of various levels of difficulty.

The paper evolves as follows. Section [2](#page-2-0) describes the related work about solving the KGC task with LLMs.  $46$  Section [3](#page-3-0) presents our methodology, describing the ingredients of our experiments. Section [4](#page-7-0) presents and discusses the results, while section [5](#page-13-0) wraps up the paper with concluding remarks.

<span id="page-1-0"></span><sup>49</sup> 49 <sup>1</sup><https://huggingface.co/mistralai/Mixtral-8x7b-Instruct-v0.1>

<span id="page-1-1"></span><sup>50</sup> 50 <sup>2</sup><https://platform.openai.com/docs/models/gpt-3-5-turbo>

<span id="page-1-2"></span><sup>51</sup> 51 <sup>3</sup><https://platform.openai.com/docs/models/gpt-4o>

 $2 \times 2$ 

## <span id="page-2-0"></span> $1 \quad 2.$  Related Work  $1$

3 3 Knowledge Graph Construction (KGC) aims to build a structured representation of knowledge within a defined <sup>4</sup> domain from a free text by identifying entities and their corresponding relationships. The process generally involves 5 5 several stages in a standard pipeline approach: 1) entity discovery, 2) coreference resolution, and 3) relation extrac-<sup>6</sup> tion. Recent methods also include 4) end-to-end KGC, which constructs a complete knowledge graph in a single step, and 5) extracting knowledge graphs directly from from text with the help of LLMs [\[16\]](#page-14-6).

<sup>8</sup> Many non-LLM techniques address the task by solving the first three stages rather separately. However, they <sup>9</sup> could also be combined into a single process, known as Knowledge Graph Completion. This task aims to deduce <sup>10</sup> absent information within a specified KG [\[16\]](#page-14-6), drawing from either input text or preexisting knowledge. Ji et al. [\[11\]](#page-14-10) <sup>10</sup> <sup>11</sup> present multiple solutions for the KG Completion utilizing embedding-based models like TransE [\[1\]](#page-14-11), relation path  $11$ <sup>12</sup> reasoning exemplified by the Path-Ranking Algorithm [\[14\]](#page-14-12), reinforcement-learning path finding [\[21\]](#page-15-3), rule-based<sup>12</sup> <sup>13</sup> reasoning such as KALE [\[5\]](#page-14-13), and meta relational learning [\[22\]](#page-15-4) utilizing R-GCN or LSTM. Similar insights are  $13$  $14$  shared by Zhang et al. [\[23\]](#page-15-0), categorizing them into neural, symbolic, and neural-symbolic approaches.  $14$ 

<sup>15</sup> 15 The aforementioned studies emphasize the usage of neural networks, logic networks, logic rules, or mathematical<sup>15</sup>  $16$  operations to address KGC. Interestingly, none of these endeavors particularly delve into the utilization of LLMs.  $16$ <sup>17</sup> Wei et al. [\[20\]](#page-15-5) advocate for a multi-stage dialogue with ChatGPT to extract pertinent information from input texts, <sup>18</sup> based on a predefined schema. They do solve the KGC task by dividing it into Named Entity Recognition, Relation<sup>18</sup> <sup>19</sup> Extraction and Event Extraction. Zhu et al. [\[25\]](#page-15-1) experiment with ChatGPT and GPT4 for KGC in the pipeline<sup>19</sup> <sup>20</sup> manner, determining that while they lag behind state-of-the-art fine-tuned Pre-Trained Language models (PLMs)<sup>20</sup>  $\frac{21}{10}$  in a zero/one shot paradigm for construction, their reasoning capabilities often match or surpass those of SOTA  $\frac{21}{10}$  $\frac{22}{2}$  models. Nevertheless, the comparative efficiency of an LLM versus a specialized PLM remains ambiguous. They also tackle the end-to-end KGC task, by designing an interface where an AI assistant and AI user collaborate in a  $\frac{24}{\pi}$  multi-party setting to complete the specified task. Their findings show that LLMs can solve the KGC task on their  $\frac{25}{25}$  own, when a multi-turn interaction takes place. Maintaining the end-to-end KGC paradigm, Han et al. [\[6\]](#page-14-4) introduce 26 26 PiVE, a prompting technique where a ChatGPT-based LLM extracts facts from input texts, while a smaller finetuned PLM iteratively verifies and supplements its responses. They demonstrate that the verifier module is key to  $\frac{28}{28}$ preserve the correctness of LLMs. Khorashadizadeh et al. [\[13\]](#page-14-14) explore the capabilities of foundation models such  $\frac{29}{29}$  $_{30}$  as ChatGPT to generate KGs from the knowledge it captured during pre-training as well as the new text provided to it in the prompt, grounded by several research questions. Their results show promising use cases for such models.  $32$  Trajanoska et al. [\[19\]](#page-15-6) experiment with a specialized pre-trained model (REBEL) and ChatGPT for automating  $32$  $33$  the extraction of KGs from news articles. They conclude that ChatGPT, when prompted adequately using enough  $33$ information and guidelines, can solve the task with promising results. Ghanem et al. [\[4\]](#page-14-8) evaluate various LLMs  $_{34}$ <sup>35</sup> using direct prompting techniques like Zero- and Few-Shot, or precedes them by model fine-tuning. They report  $_{36}$  metrics including TF1, GF1, and Graph Edit Distance (GED) introduced in [\[6\]](#page-14-4), while also defining new metrics for  $_{36}$  $_{37}$  hallucination and information omission.  $_{37}$ 

 $38$  As opposed to the above mentioned literature, we emphasize the use of a well-defined ontology to guide the  $38$  $_{39}$  extraction of facts and, subsequently, construction of the KG. This approach stands in contrast to methods that either  $_{39}$  $_{40}$  lack background information or rely solely on small, predefined lists of specific types and relationships. Moreover,  $_{40}$  $_{41}$  we increase the number of textual inputs, expanding the generality of our conclusions. We share similarities with [\[15,](#page-14-5)  $_{41}$  $_{42}$  [17\]](#page-15-7). Mihindukulasooriya et al. [\[15\]](#page-14-5) distill two datasets specifically for KGC from other well-established sources and  $_{42}$ <sub>43</sub> create additional metrics to test two LLMs, Vicuna-13B and Alpaca-LoRA-13 on the aforementioned task, resulting <sub>43</sub> <sup>44</sup> in a benchmark for KGC. However, unlike their approach, our datasets are manually curated, and we utilize an in-45 45 house designed flexible paradigm to evaluate LLM performance from a different perspective, while testing models 46 46 of various types and sizes. Polat et al. [\[17\]](#page-15-7) experiment with different prompting techniques and paradigms, from <sup>47</sup> Zero to Few-Shot and DP to COT for the extraction of KGs from free input text. Different from us, prompts are 48 48 enhanced with extra information obtained via various RAG approaches, while the evaluation of the output is done 49 49 using SPARQL queries to Wikidata. Similar to us, they test also with Mixtral. However, in our paper, we intend to 50 50 assess the performance of the LLMs on the KGC task solely based on the user free text input, without helping the 51 51 LLM with additional contextual information, like the one that could be supplied with a RAG.

1 1 Consequently, we test the capacity of a proprietary LLM – namely GPT, with two versions: GPT-3.5-Turbo-0125 2 2 and GPT-4o on the KGC task. Additionally, we include an open-source LLM - Mixtral-8x7b-Instruct-v0.1 [\[12\]](#page-14-7), <sup>3</sup> to facilitate research on open-source models, given their greater adaptability and cost-effectiveness compared to <sup>4</sup> proprietary alternatives. Another difference from them is that our prompts are more diverse and easier to track, <sup>5</sup> as they are leveled according to the TELeR taxonomy [\[18\]](#page-15-2). We introduce flexible metrics for gauging additional <sup>6</sup> post-processing efforts. Finally, we also test the possibility of integrating an LLM with an ontology-enhanced TOD <sup>7</sup> system, to sharpen its natural language processing and KG-related capabilities, by utilizing sample phrases from its <sup>8</sup> training routine, resulting in two datasets, differentiated by their level of difficulty. 9 9

### <span id="page-3-0"></span>12 **3. Methodology 12**

<sup>14</sup> This section introduces our methodology used thorough this paper. We describe preliminary definitions of key <sup>14</sup>  $15$  concepts, the ontology used to anchor the LLMs knowledge, the datasets format and distribution, the prompt engi-<sup>16</sup> neering steps, and the metrics measurement paradigms.

#### $\frac{18}{18}$  18  $\frac{19}{19}$   $\frac{19}{19}$ *3.1. Preliminaries*

**21 Definition 1.** A Knowledge Graph typically represents information as triples (or facts). Let KG denote the graph,  $_{21}$ where each triple  $(h, r, t)$  consists of head  $(h)$  and tail  $(t)$  entities, and a relationship  $(r)$  between them. The set of all  $\frac{22}{5}$ <br>entities is denoted by E and the set of all relationships as E The definition of a K 23 entities is denoted by  $E$ , and the set of all relationships as  $R$ . The definition of a KG can be formalized as:

$$
KG = \{(h, r, t) | h, t \in E, r \in R\}
$$
\n
$$
26 \tag{1}
$$
\n
$$
26 \tag{26}
$$

27 An example of a fact can be *(Bill\_Clinton, presidentOf, USA)*, where *Bill\_Clinton* is the head entity, 28<br>28 **presidentOf** is the relationship and *USA* is the tail  $28$  *presidentOf* is the relationship, and *USA* is the tail.

**Definition 2.** A Large Language Model (LLM) is a neural network with billions of parameters, trained on vast <sup>30</sup> datasets to understand the semantics of words in texts, making it highly effective for Natural Language Processing  $\frac{31}{22}$  (NLP) tasks. LLMs are classified into three types: 1) encoder-only, 2) encoder-decoder, and 3) decoder-only [\[16\]](#page-14-6).  $\frac{1}{32}$   $\frac{1}{2}$  along the chasting the chasting the charge of  $\frac{1}{2}$  of  $\frac{1}{2}$  of  $\frac{1}{2}$  of  $\frac{1}{2}$  of  $\frac{1}{2}$   $\frac{1}{2}$   $\frac{1}{2}$ The decoder-only models, like those in the GPT series, are the most widely used. These models predict the next  $\frac{33}{3}$  $_{34}$  word in a sequence solely based on the preceding words. In essence, a decoder-only LLM can be described as:

$$
LLM(w_0, w_1, ..., w_n) = p(w_{n+1} | w_0, w_1, ..., w_n)
$$
\n(2)

where the sequence  $(w_0, w_1, ..., w_n)$  contains the words in the input text, *n* is its length, while  $w_{n+1}$  is the next<sup>38</sup><br>are predicted word in the sentence. Hence based on a given input text a decoder only IJM generates <sup>39</sup> predicted word in the sentence. Hence, based on a given input text, a decoder-only LLM generates a probabilistic <sup>39</sup>  $40$  distribution *p* over all the possible words in the vocabulary.

<sup>41</sup> **Definition 3.** The Knowledge Graph Construction task, as outlined in section [2,](#page-2-0) involves extracting entities and <sup>41</sup>  $42$  relationships as triples needed to build or update a KG. Typically, a dedicated system or model performs this task.  $42$ <sup>43</sup> In our case, an LLM serves as the extractor of the target triples - deemed as golden labels, based on a predefined <sup>43</sup> <sup>44</sup> ontology of entity types and relationships. The model's input is a prompt containing the task description (*TD*), ontology (*O*), and input text (*IT*), with an optional set of examples ( $[EX]$ ) illustrating the process on different text  $^{46}$  inputs. Hence, KGC is formulated as follows:  $\frac{47}{47}$   $\frac{47}{47}$ 

$$
LLM(Frompt(TD,[EX],O,IT)) = [(h,r,t)_0,(h,r,t)_1,...,(h,r,t)_i]
$$
\n(3)

51 where  $(h, r, t)_i$  is an extractable triple from the input text, while *i* is the total number of predicted triples.



24 24 *3.2. Datasets format and distribution*  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  25  $25$  27  $25$  27  $25$  27  $25$  27  $25$  27  $25$  27  $25$  27  $25$  27  $25$ 

<span id="page-4-1"></span><span id="page-4-0"></span>BASIC named UBBDemo and put Oscar as the manager

26 26 Fig. [1](#page-4-0) depicts the ontology introduced in our prior research [\[9\]](#page-14-2) and used here. It comprises three classes: *Project*, *Employee*, and *Status*, along with six relationships connecting them - such as *hasManager* and *hasStatus* or associating classes with literal values - like *hasName*, *hasRole*, *hasClass*, and *hasCode*. The ontology is described in RDF,  $\frac{30}{30}$   $\frac{30}{30}$   $\frac{30}{30}$   $\frac{30}{30}$ using the Turtle syntax.

23 23

Input phrases are sourced from the training schedule of the TOD system developed in [\[9\]](#page-14-2), aimed to solve business  $\frac{31}{31}$ operations around the concepts described in the above-presented ontology. Each phrase corresponds to the *Create Insert*) intent within CRUD operations, being focused either to one of the three available classes in the ontology or to other out-of-distribution (OOD) classes. Only few phrases resemble basic tasks without the *Insert* intent (labeled  $\frac{34}{34}$ as *w/o Insert*). These, along with the OOD phrases, do not contain extractable triples and are labeled as having  $\frac{35}{25}$ <sup>36</sup> *None* class type. The texts convey a various range of information, including both (i) explicit information where  $\frac{37}{37}$  intent, class type, associated relationships, and values are clearly articulated, and/or (ii) implicit information where  $38$  additional reasoning steps are required to identify the necessary details. For instance, texts may already provide  $_{39}$  an ID for the related instance, or the value might imply a name, role, or unspecified property. We also constructed <sup>40</sup> phrases with misleading alternatives, where the first category contains grammatical errors, while the second one focuses on the *None* class type and ad-hoc values for some relationships of the target instance. For example, as the 42 42 relationship *hasClass* requires a programming language, we include words that do not resemble existing ones, such as Dandy, Erlang etc. (labeled as WV, which stands for Wrong Values).

Table [1](#page-4-1) presents examples from each category. Details about the explicit types are available in the project's repos-itory<sup>[4](#page-4-2)</sup>. Each text is accompanied by its related relationships, values, intent, and text type. Using regex templates, the 46 46 information is converted into a dictionary. At the end, we obtained two datasets: *Templates Easy (TE)* and *Templates*  $_{47}$  *Hard (TH)*. The first dataset includes easier explicit and misleading text types with a lower number of implicit ones, while the second one benefits of an increased overall difficulty and additional implicit-type texts. Table [2](#page-5-0) presents  $\frac{48}{48}$ the distribution of texts per class type, on each dataset.

 $50$ 

<span id="page-4-2"></span>51 51 <sup>4</sup><https://github.com/IonutIga/LLMs-for-KGC>

<span id="page-5-0"></span>

<span id="page-5-1"></span> $\frac{\text{max\_type}}{17}$  17 18 Fig. 2. Example of a dictionary object with its text-related details. 19

 20 We associate each text with a set of golden labels, which are the target triples that can be extracted from the input 21 text. Additionally, we consider that under the flexible metrics measurements paradigm introduced in subsection [3.4,](#page-6-0) 22 we can accept some triples as alternatives for the golden ones, i.e. some facts reported as false positives could be 23 accepted if no other background information is available. It is widely acknowledged that extracting triples from text 24 could yield a variety of results depending on the expertise of the annotator. Therefore, we should allow room for 25 LLMs to exhibit such variability.

 26 To better illustrate the above description of the dataset, Fig. [2](#page-5-1) presents an example of the obtained dictionary. The 27 proposed alternative triple substitutes for triples two, three, and four. The accepted false positive triple refers to the 28 user's role, that can be inferred from the *hasManager* relationship.

 $31$ 

## 30 *3.3. Prompt engineering*

 32 To better encapsulate information and facilitate the replication of experiments, we utilize regex templates to 33 convert each dictionary object - representing a text from a dataset, into a Prompt object. Such objects hold many 34 details, such as the system prompt, its version and level, the input text, its type and mentioned class type, the golden 35 labels and the ones that could be accepted alternatively, the system message order, and metadata information about 36 each model's prediction of the input prompt. For a more comprehensive analysis, we enable the adjustment of the 37 system's message position within the final prompt. Practice suggests that positioning the system's prompt after the 38 user's message could potentially enhance the performance of LLMs by mitigating long-context memory limitations. 39 Lastly, we provide the option to flatten each Prompt object into dictionaries that are placed in text files for future **reuse.** 40 reuse.

 41 Three important paradigms are usually employed when designing prompts [\[24\]](#page-15-8): Zero-, One- and Few-Shot. The 42 former's prompt includes only the objective's description and the input data that should be processed. One-Shot in- 43 cludes exactly one example of how the task should be solved against some different input data. Intuitively, Few-Shot 44 refers to multiple, relevant examples added to the prompt. After selecting the paradigm, one should decide about the 45 prompting technique [\[24\]](#page-15-8). The current work employes three main approaches, as follows: Direct Prompting which 46 refers to a prompt that only comprises the task's description and the input to work on; In-Context Learning (ICL) 47 which adds a relevant example of a solution to the given task on a different input data, and Chain of Thought (COT) 48 which expands the prompt with the exemplified solution's intermediary reasoning steps. 49 To test the model's capacity to solve a task, we follow the guidelines of [\[18\]](#page-15-2) by assigning a level to each version

 50 of a system prompt. Specifically, we utilize levels 1 through 4 as outlined in [\[18\]](#page-15-2). Within the fourth level, we further 51 divide it into 4.1 and 4.2 to accommodate both ICL and COT variations of the prompt. <span id="page-6-0"></span>*3.4. Metrics*

steps.

 $h_i =$ 

 $\sqrt{ }$ J  $\mathcal{L}$ 

<span id="page-6-1"></span>

1 1 Below, we list the penalties we considered for each sort of LLM output errors.

2 2 *Format Penalties at the whole output level*. The prompt demands a reply comprising solely a list of triples adhering to the specified template. Therefore, we consider a penalty of  $2.5\%$  for outputs with multiple lists and a penalty of  $3.5\%$  for the LLM producing additional text. We apply these penalties for all triples that result a 7.5% for the LLM producing additional text. We apply these penalties for all triples that result after eliminating the splobal formating errors 5 5 global formatting errors.

6 6 *Format Penalties at the triple level*. If the prompt asks not to include the full IRI of an entity i.e. without the 7 namespace, we penalize each addition with 1%. Finally, if a triple is output but does not contain exactly the three 8 8 necessary keys, a penalty of 10% is applied.

9 9 *Content Penalties* refer to penalties related to the information content of a triple. For example, let's ask a model to 10 10 construct a simple ID for each given instance of a class - specifically, the capitalized name of the class concatenated 11 with "1". We have noticed that some models tend to replace the number "1" with another single digit. Thus, if 12 altering the final digit of a predicted identifier to "1" signifies correctness for a triple, the model is subjected to a 12 13 penalty of 33%. This percentage value adheres to the three-component structure of a fact, such that, if one part is 13 14 wrong, while the other two are correct, the model should still gain benefit of its prediction. This method of evaluation 14 15 15 only applies to the validity of a constructed ID(s). Any other type of mistakes are not allowed, since they would 16 16 alters the factuality of the implied information.

17 As previously noted, we permit certain alternative triples to the designated correct ones to be regarded as valid. 17 18 18 Specifically, in Fig. [2,](#page-5-1) concerning the relationship labeled as *hasManager* between a *Project* instance and an *Em-*<sup>19</sup> *ployee* instance, if a model predicts the value of the object to directly be the employee's name, instead of creating an <sub>20</sub> *Employee* instance and assign its type and name, the substitution will be counted as being correct. Nevertheless, the <sub>20</sub>  $_{21}$  flexible metrics will attribute only one-third of the replacement as being accurate, implicitly penalizing the model  $_{21}$  $_{22}$  for deviating from the prescribed ontology and guidelines. Additionally, some false positive triples may be deemed  $_{22}$ 23 23 true in the absence of background knowledge (*FP\_okay* triples), such as inferring the role of an employee as being 24 a manager from the *hasManager* relationship, thus not counting them as being wrong during the calculation of the 24 25 model's precision. However, we treat them as any other triple under the flexible paradigm, thus penalizing them for 25  $26$  content mistakes, if any.  $26$ 

 $_{27}$  The penalty values described above and considered in our paper fit our specific task. We emphasize that these  $_{27}$  $_{28}$  values are not fixed, and someone who wants to adapt the flexible measurement paradigm for another task is free to  $_{28}$ 29 29 change them, according with her specific needs.

<span id="page-7-1"></span> $30<sub>30</sub>$  Next, the evaluation metrics are calculated according to the following widely-known formulas:

$$
Precision_{text} = \frac{\sum_{i=1}^{m} h_i}{m}, \quad Recall_{text} = \frac{\sum_{i=1}^{m} h_i}{n}
$$
\n(5)

33 33  $34$ 

$$
F1_{text} = \frac{2 * Precision_{text} * Recall_{text}}{Precision_{text} + Recall_{text}} \tag{6}
$$

$$
TF1 = \frac{\sum_{text} F1_{text}}{|text|}, \text{ Recall} = \frac{\sum_{text} Recall_{text}}{|text|} \tag{7}
$$

<span id="page-7-2"></span>38 38

41 **10.**  $\frac{41}{100}$  **10.**  $\frac{1}{100}$  **11.**  $\frac{1}{100}$  If the strict metric measurement paradigm is considered, the hit scores  $h_i$  could be only 0 or 1 and the metrics  $\frac{12}{42}$ <sup>43</sup><br>the flexible metric measurement paradigm is considered, the metrics computed according with the above-presented  $44$   $44$   $44$ equations are more optimistic, allowing one better assess usefulness of the LLM output for subsequent processing  $\frac{1}{45}$  $46$   $46$ computed according with eq. [5-](#page-7-1)[7](#page-7-2) are the standard ones used in the literature (precision, recall and triple F1). If steps.

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## <span id="page-7-0"></span>48 48 4. Results and discussion

50 This section presents the obtained results and discuss them in order to conclude about the paper's research ques-<br>50 51 tions. tions.

 1 Experiments were conducted on Google Colab, utilizing a virtual machine equipped with two Intel Xeon CPU 2 2.20GHz processors. We experimented with Mixtral-8x7b-Instruct-v0.1, GPT-3.5-Turbo-0125 and GPT-4o. Mix- 3 tral is open-source, leveraging the Mixture of Experts[\[12\]](#page-14-7) architecture, consisting of eight sub-networks, each of 4 7B parameters, accounting for a total of 56B parameters. GPT-3.5-Turbo-0125 is a well-known proprietary model 5 that represents a fine-tuned version of GPT 3, consisting of 175B parameters. GPT-4o boasts over 200B param- 6 eters, being the latest OpenAI model, advertised as their best performer. For Mixtral-8x7b-Instruct-v0.1, we used 7 the HuggingFace Serverless API endpoint, whereas for GPT-3.5-Turbo-0125 and GPT-4o queries were directed to 8 8 **OpenAI's official API.** 8 9 Each experiment was iterated three times, involving aprox. 6750 prompts in total, with each run lasting approxi- 10 mately 120 minutes. Interaction with Mixtral consumed about 50% of the experimentation time. GPT-4o generated a 11 cost around 40USD, while the GPT-3.5-Turbo-0125 only about 5USD. For Mixtral, the HuggingFace endpoint gen- 12 erated no cost. Each set of predictions could be loaded, tested and visualized from the paper's repository, available 13 at [https://github.com/IonutIga/LLMs-for-KGC.](https://github.com/IonutIga/LLMs-for-KGC) <sup>14</sup> We notice that for the GPT models, an extra post-processing step is required, after receiving the produced output. 15 Due to their ability to generate JSON formatted output, it surrounds its response with a specific tag (i.e. ""'json..."'"). 16 One solution is to include a guideline in the prompt to avoid this behavior, but very rarely, around <sup>0</sup>.5% of times, it <sup>17</sup> still adds it. Thus, to ensure that prompts are identical for all models, and be sure that the tag is not present in the 18 output, we post-process the GPT output in our code. We do this to enable a fair analysis solely of the output text. 19 Tables [3](#page-9-0) to [6](#page-10-0) display the results per model and prompt level, considering both strict and flexible metrics measure- 20 ment paradigms. The first two tables focus on the *Templates Easy (TE)* dataset, while the latter ones on *Templates Hard (TH)* dataset. Tables [3](#page-9-0) and [5](#page-10-1) display the results for the hand-written system prompts, while in tables [4](#page-9-1) and [6,](#page-10-0) 21 22 each model had to rephrase the prompts beforehand. 23 Tables [7](#page-11-0) and [8](#page-11-1) present class-wise model performance across both datasets, based solely on metrics from hand- 24 written prompts, which outperformed model-rephrased alternatives, as shown in former tables. 25 Fig. [4](#page-12-0) displays the recall and TF1, under the flexible paradigm for each model per phrase type from Table [1,](#page-4-1) on 26 both datasets, using hand-written prompts. 27 Table [9](#page-12-1) highlights an in-depth analysis of the "*MS 2*" category from Fig. [4,](#page-12-0) given three phrase sub-types. Table [10](#page-12-2) 28 outlines the results of each model when the link between a *Project* and an *Employee* instance is referenced through 29 an ID or role, compared with standard human names. 30 We highlight the most effective prompt types per model and level in bold. The overall best prompts are under- 31 scored, while the overall best prompts per level are printed in italics. 32 Several interesting conclusions are discusses below. 33 **Elaborate Instructions Without Examples Do Not Necessarily Yield Better Results.** Upon analyzing both 34 35 types of prompts across all levels, it appears that augmenting the prompt with more information without examples 36 does not consistently enhance performance. Level 3 prompts, when evaluated rigorously, exhibit an average decline 37 of around 7% in recall and TF1 scores compared to levels 1 and 2. When evaluated using more flexible metrics, 37 38 the discrepancy diminishes to almost zero. GPT-4o tends to increase its performance with each level when using 39 hand-written prompts, while it dramatically decreases it for the model-rephrased ones. The other two models con- 40 sistently lower their metrics at the third level, especially Mixtral-8x7b, which can be attributed to the inclusion of 41 explanatory text, as it strives to replicate the input text. 42 **ICL and COT Prompting Techniques Lead to Best Results.** Most of each models best results happened when 43 44 prompted at levels 4.1 and 4.2, no matter the dataset or prompting template. Only GPT-4o had its best results for 45 strict metrics at the first level when prompts where model-rephrased, which could be attributed to poor paraphrasing 46 for the latter levels. It is no surprise that such models work best when an adequate output example is given, as 47 literature [\[18\]](#page-15-2) suggests. However, as Mixtral-8x7b sometimes provides explanations for its output, erroneous rea- 48 soning steps are noticeable, especially in cases where the input text contains a class type that is not present in the 49 ontology. Thus, despite the GPT models exhibiting this behavior less frequently, LLMs still have significant room 50 for improvement in terms of reasoning capabilities.51

<span id="page-9-0"></span>

<span id="page-9-1"></span> 38 valuable insights into the models capacity to follow the given prompts. While GPT 3.5-turbo and GPT-4o exhibit 39 minimal disparity between the two perspectives, Mixtral 8x7b rarely produces texts that align with the specified 40 template. Common errors include the addition of explanatory text, as evidenced by the 0 scores at the 4.2 level in 41 table [5,](#page-10-1) or the full IRI of an entity. When strictly evaluated, the open-source model only tops 42% recall on the 42 Template Easy (TE), while on flexible paradigm it reaches 74% recall on the same dataset. GPT-3.5 outputs 88% 43 recall under both metrics measurements, while the GPT-4o variant yields 89%. Mixtral-8x7b Rarely Follows the Required Output Format. The two metric measurement paradigms offer

 45 Asking Models to Rephrase the System Prompt Might Generally Be a Good Idea for Mixtral-8x7b. Some 46 experiments in the literature [\[16\]](#page-14-6) ask the LLMs to formulate prompts for a given task. Inspired by it, we ask the 47 LLMs to rephrase our manually written prompts to better align with their capabilities. As a comparison, Mixtral- 48 8x7b benefits the most under rigorous evaluation, with an average increase of 7% for each recall and TF1 score. 49 GPT-3.5-Turbo seems to conserve its behavior, signaling an increase of only 2%. Surprisingly, GPT-4o exhibit a 50 significant decrease in performance when it paraphrased the input prompts. On average, it lowered its performance 51 by 33% for both metrics, with third level prompts being the worst affected. Nonetheless, it's promising to see the

<span id="page-10-1"></span>

<span id="page-10-0"></span> $37$ <sup>38</sup> open-source model enhancing its output by closely adhering to the provided system prompt.

 $39$  $_{40}$  Implicit Reasoning Poses Challenges for LLMs. Template Hard (TH) dataset contains cases where the LLM  $_{40}$  $_{41}$  needs to understand that a given value is already an ID that references an existing instance in a KG or that a state- $_{42}$  ment implies a specific relationship pertaining to a class. As concluded by the results presented in the tables from [3](#page-9-0)  $_{43}$  to [6,](#page-10-0) under flexible metrics, Mixtral 8x7b achieves an recall of 56% and an TF1 score of 61% on the more difficult  $_{43}$ 44 44 dataset, which is 17.5% lower than its performance on the easier one. GPT-3.5-Turbo narrows this margin, reducing 45 45 from a peak recall and TF1 of 89% to 78% on Template Hard (TH). Same behaviour is observed with GPT-4o, as <sup>46</sup> it falls from 91% recall and TF1 score to around 76%. Interestingly enough, Mixtral-8x7b yields its best scores at 47 47 level 1 prompts, when strictly measured. Fig. [4](#page-12-0) displays the differences in a compact form, based on each phrase's 48 48 type. Thus, it shows a decrease in performance when phrases require extra reasoning steps, i.e. Implicit Information, 49 49 compared to simple, direct ones i.e. Explicit Information. For instance, all models reduce their recall, on average, 50 50 with 12%, and their TF1 score with 13%.



<span id="page-11-1"></span> $_{36}$  Dataset. Despite showing fluctuations in results when it rephrased the prompts, GPT-40 was the best overall model.  $_{36}$ 37 Based on Table [3,](#page-9-0) on the TE dataset, under strict measurements, it had 75% recall and 78% for the TF1 score, almost  $\frac{37}{27}$  $_{38}$  four times more than Mixtral-8x7b and with 13.5% more than GPT-3.5-Turbo. We can interpret the results as GPT- $39$  4o is more reliable than the other two models, regardless of the prompt level. However, GPT-3.5-Turbo came close  $_{40}$  to it considering their top performances, being only 3% away from GPT-40 on the TE dataset, while surpassing it  $_{40}$ <sup>41</sup> by 4% on the TH dataset, as we can notice on Table [5.](#page-10-1) Depending on the user's objectives, while considering the 42 42 model's costs, the choice of the final model could vary.

 **Complex Class Types Do Not Imply More Difficult Reasoning.** Analyzing both Tables [7](#page-11-0) and [8,](#page-11-1) all models seem 45 to perform better on the *Project* type, compared with the other three classes. It may be attributed to the inclusion of <sup>46</sup> more difficult phrase types, combined with a notable lower amount of examples for the latter three. In spite of this 47 difference, the results for the *Project* type are still significantly higher than for the other ones, although it requires 48 the extraction of five relationships, compared with two for *Employee* and one for *Status*. For example, under the 49 flexible paradigm, the average recall and TF1 score are 70% and 77% for the *Project* class, while for *Employee*, the 50 models only achieve 59% and 64%. This suggests a potential hypothesis regarding LLM behavior when handling 51 complex versus simpler classes. Finally, the recall and TF1 score on the *Status* class are 53% and 56%, respectively

<span id="page-11-0"></span>



<span id="page-12-0"></span> 18 Fig. 4. Recall and TF1 for each model per phrase type under the flexible paradigm on both datasets using hand-written prompts. Symbol "EI" 19 stands for *Explicit Information*, "II" for *Implicit Information*, "MS1" and "MS2" for *"Misleading Information types 1 or 2"*

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つっ 44	Table 9	$\sim$ $\sim$ $-$

<span id="page-12-1"></span> 22 In-depth analysis of the "*Misleading Information type 2*" (MS2) phrase type (from Table [1\)](#page-4-1). Phrases include OOD class types, basic tasks "*w/o* 23 *Insert*" intent, or ad-hoc values for the target instance' relationships ("WV").



### Table 10

<span id="page-12-2"></span> $\frac{1}{2}$  30  $\frac{1}{2}$  30 Results, under the flexible paradigm, of hand-written prompts by each model on the phrases that include *Employee* instances referenced by an 31 ID or their *hasRole* relationship value instead of the *hasName* one; only available in the TH dataset.

	with ID reference		with <i>Role</i> reference	
	Recall	TF1	Recall	TF1
Mixtral 8x7b-instruct-v0.1	0.30	0.38	0.47	0.54
GPT 3.5-turbo-0125	0.45	0.52	0.68	0.75
GPT-40	0.46	0.54	0.83	$_{0.88}$
	Model			

38

42

 39 - 6% and 8% lower than for the *Employee* class. This might indicate that LLMs leverage internal knowledge for task 40 resolution, particularly since *Employee* instances often involve familiar person names and roles, which are more 41 likely included during LLM training, unlike the more variable nature of *Status* instances names (e.g., 'in-progress').

**LLMs Appear to Adhere to the Ontology.** While the results in Tables [3](#page-9-0)[-8](#page-11-1) and Fig. [4](#page-12-0) demonstrate strong per- 44 formance across various prompt levels, classes, and phrase types, suggesting that LLMs may grasp the provided 45 ontology, closer analysis of the misleading information type 2 category (MS2) category from Fig. [4](#page-12-0) raises concerns. <sup>46</sup> This category had the lowest scores, with an average recall of 48% and an TF1 score of 53% across the three mod- 47 els. Although these results might seem acceptable at first glance, a deeper look at the phrase types reveals flaws in 48 LLMs' behavior. All models performed reasonably well when encountering ad-hoc values for the target instance' 49 relationships, reaching 86% recall using GPT-4o, as we can notice in Table [9.](#page-12-1)

 50 However, phrases involving basic tasks without the Insert intent (e.g., 'generate all the reports you have') posed 51 an issue for Mixtral-8x7b, which attempted to extract triples instead of outputting 'None'. The most significant

 1 challenge was presented by out-of-distribution (OOD) class types, such as the example in last row of Table [1,](#page-4-1) where 2 none of the models followed the prompt or ontology. Instead of verifying the detected type against the ontology and 3 outputting 'None,' 98% of the time they incorrectly treated it as valid. This suggests that LLMs do not truly reason <sup>4</sup> but are highly adept at mapping input text to target output when the cases are general enough.

5 5

6 6 Top-tier LLMs Effectively Address Grammatical Errors. Fig. [4](#page-12-0) highlights the Misleading information type 1 7 7 (MS1) category, where phrases contain misspelled words, as shown in the third row of Table [1.](#page-4-1) While Mixtral-8x7b <sup>8</sup> achieves only 55% recall and a 63% for TF1 score, GPT models handle most errors and even correct known class <sup>9</sup> names (e.g., 'Porject' to 'Project'). For instance, GPT-4o reaches 86% recall and a 91% for TF1 score under the  $10$  flexible paradigm.  $10$ 

 $11$ 

12 12 The Underlying Semantics of Words Pose a Challenge for LLMs. The *Project* and *Employee* classes are linked <sup>13</sup> through the *hasManager* relationship, and most test phrases reference the Employee's name, requiring the creation<sup>13</sup> <sup>14</sup> of an additional *Employee* instance, as described in subsection [3.4.](#page-6-0) Such tasks are trivial for high-performant models, <sup>14</sup> <sup>15</sup> as names can be linked with persons, which can be seen as a supertype for the *Employee* class. With their complex<sup>15</sup>  $16$  training schedule, it is highly probable their dataset contained such cases. However, when we start referencing such  $16$ <sup>17</sup> instances by their role (i.e. a job type), their performance starts to decline, though not drastically. As shown in Ta- $17$ <sup>18</sup> ble [10,](#page-12-2) GPT-4o maintains 83% recall and an 88% TF1 score, close to its overall performance (85% recall, 90% for <sup>18</sup> <sup>19</sup> TF1 in Table [8,](#page-11-1) *Project* class type). However, performance drops sharply when using terms likely absent from train-<sup>20</sup> ing, such as an ID (e.g., Employee123), with GPT-40 achieving just 46% recall and 54% for TF1. This suggests that <sup>20</sup>  $21$  referencing class instances with unusual terms, like IDs, challenges LLMs to grasp deeper semantic relationships.  $21$ 22 and  $\overline{2}$  22

<sup>23</sup> In summary, KGC remains a challenging task for LLMs under Zero-Shot prompting. As models become better, <sup>23</sup> <sup>24</sup> they performance tend to increase, while shifting the focus on optimizing the costs. Moreover, when checking their <sup>24</sup> <sup>25</sup> intermediate reasoning steps, they show lack of ability to follow the provided ontology. The open-source model<sup>25</sup> <sup>26</sup> has difficulties in conforming to the required output format. However, One-Shot contexts give promising results <sup>27</sup> as LLMs excel in emulating a provided example. This implies that a less resource-intensive Few-Shot training <sup>27</sup> <sup>28</sup> approach could potentially boost performances, with a focus on techniques like Retrieval-Augmented-Generation to  $\frac{29}{\text{select more suitable examples within a given prompt. Another plus is their ability to enhance their inner knowledge}$ <sup>30</sup> to detect some implicit relationships from the input text. Nevertheless, as suggested by Fill et al. [\[3\]](#page-14-15), presently  $31$   $1 \tIm Y$ ,  $1 \tIm Y$   $1 \tIm Y$ we may use such LLMs as helpful assistants for solving such tasks, rather than ultimately faithful extractors in a  $133$   $1533$   $1533$   $1333$ pipelined system.

 $34$ 

#### <span id="page-13-0"></span>35 35  $36$   $\phantom{00}$   $\phantom{0$ 5. Conclusion

 $37$ <sub>38</sub> The proposed experiments showcases the ability of three leading LLMs, namely Mixtral-8x7b-Instruct-v0.1, <sub>38</sub>  $39$  GPT-3.5-Turbo-0125 and GPT-40, in tackling the Knowledge Graph Construction task. Using both hand-written  $39$ <sub>40</sub> and model-rephrased prompts, we incorporated various prompt engineering techniques, such as In-Context Learn-<sup>41</sup> ing or Chain of Thought, focusing on Zero- and One-Shot contexts. Metrics measurement enabled the evaluation  $_{42}$  of the LLMs for strictly following the given prompt, as well as the their flexibility in producing useful output to  $_{42}$ <sup>43</sup> be considered in post-processing steps. The results obtained from two distinct datasets tailored to various reasoning 44 44 challenges highlight the LLMs strengths and weaknesses. These include their adaptability in Zero- or One-Shot 45 45 scenarios and their utilization of internal knowledge to deduce implicit reasoning steps. However, they still lack 46 46 self-awareness, not being able to adhere to explicit guidelines in the given prompt, or fully understand and exploit 47 the considered ontology.  $47$ 

 48 Additionally, we proposed two personalized datasets capable of assessing both the models' ability to solve the 49 Knowledge Graph Construction task and their potential integration with task oriented dialogue systems simultane- 50 ously and a flexible measurement procedure to measure the capacity of the LLM to give logically correct results, 51 but in an approximate format.

 1 Future work will prioritize the integration of additional LLMs for testing, facilitated by our interface's seamless 2 incorporation of new endpoints. Moreover, we plan to test the possible influence of placing the system prompt at 3 the end of the message, after the input text, to mitigate long-context memory issues. Lastly, we plan to move from 4 single turns to a dialogue context, where the extraction happens as a discussion between a user and the LLM.

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<span id="page-14-11"></span>8 a set of the set of th

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